

AP 1 (In) 1637

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

14

Application Number

09/938,878

Filing Date

08/24/2001

First Named Inventor

Nila Patil

Art Unit

1637

Examiner Name

Jeffrey N. Fredman

Attorney Docket Number

200/1004-21

### ENCLOSURES (Check all that apply)

☐

Fee Transmittal Form

☐

Fee Attached

☐

Amendment/Reply

☐

After Final

☐

Affidavits/declaration(s)

☐

Extension of Time Request

☐

Express Abandonment Request

☒

Information Disclosure Statement

☐

Certified Copy of Priority Document(s)

☐

Reply to Missing Parts/  
Incomplete Application

☐

Reply to Missing Parts  
under 37 CFR 1.52 or 1.53

☐

Drawing(s)

☐

Licensing-related Papers

☐

Petition

☐

Petition to Convert to a  
Provisional Application

☐

Power of Attorney, Revocation

☐

Change of Correspondence Address

☐

Terminal Disclaimer

☐

Request for Refund

☐

CD, Number of CD(s) \_\_\_\_\_

☐

Landscape Table on CD

☐

After Allowance Communication to TC

☐

Appeal Communication to Board  
of Appeals and Interferences

☐

Appeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)

☐

Proprietary Information

☐

Status Letter

☒

Other Enclosure(s) (please identify  
below):

Form PTO-1449 (1 page).

Three (3) references (10 pages).

Return Acknowledgment postcard.

Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Perlegen Sciences, Inc.

Signature

*Gulshan H. Shaver*

Printed name

Gulshan H. Shaver

Date

8/19/2005

Reg. No.

37,496

### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

*Donna Rose-Burns*

Typed or printed name

Donna Rose-Burns

Date

AUG. 19, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



**PATENT**  
Docket No. 200/1004-21

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application No.:	09/938,878	<u><b>CERTIFICATE OF MAILING</b></u>
Filed:	08/24/2001	I hereby certify that this correspondence and any attachments thereto are being deposited with sufficient postage as first class mail to: Mail Stop AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:
Inventors:	Nila Patil et al.	Date: <u>Aug. 19, 2005</u>
Title:	METHODS FOR REDUCING COMPLEXITY OF NUCLEIC ACID SAMPLES	Signed: <u>Donna Rose-Burns</u> Donna Rose-Burns
Examiner:	Jeffrey N. Fredman	
Art Unit:	1637	
Confirmation No.	2515	

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR 1.97 AND 1.98**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:


No information contained in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the Supplemental Information Disclosure Statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this Supplemental Information Disclosure Statement.

The filing of this Supplemental Information Disclosure Statement should not be construed to be an admission that the information cited in this statement is, or is considered to be, material to patentability as defined in §1.56(b).

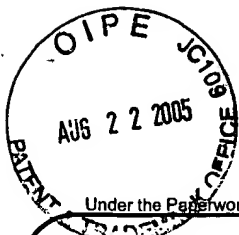
The filing of this Supplemental Information Disclosure Statement should not be construed as a representation that a search has been made.

Respectfully submitted,

Date: 8/19/2005

By:   
Gulshan H. Shaver  
Reg. No. 37,496

Perlegen Sciences, Inc.  
2021 Stierlin Court  
Mountain View, CA 94043  
Tel: 650.625.4500  
Fax: 650.625.4574  
**Customer No. 31662**



PTO/SB/08B (07-05)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

### Complete if Known

Application Number	09/938,878
Filing Date	08/24/2001
First Named Inventor	Nila Patil
Art Unit	1637
Examiner Name	Jeffrey N. Fredman
Attorney Docket Number	200/1004-21

Sheet 1 of 1

### NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	1	LIPSHUTZ, ROBERT J., et al., "High density synthetic oligonucleotide arrays", Nature Genetics Supplement, 01/1999, 20-24, vol. 21, US	
	2	PERSIDIS, ARIS, "Biochips", NATURE BIOTECHNOLOGY, 10/1998, 981-983, vol. 16, US	
	3	"New Riverside University Dictionary", The Riverside Publishing Company, pp. 1175,	

Examiner Signature	Date Considered
--------------------	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.